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TO: Name Ms. Walker

Address EXTENSION FEE - Assistant Commissioner for Patents

Fax # 703-746-9617

Date August 26, 2003

Time:

FROM: Name Pamela J. Hogan, Intellectual Property Assistant

NUMBER OF PAGES (including cover sheet): 4

ORIGINAL WILL NOT FOLLOW  
 ORIGINAL WILL FOLLOW BY:

REGULAR MAIL  
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 OTHER (See Remarks)

RE: U.S. Patent Application S.N. 09/851,367

Title: FULLY RECESSED UNIT EQUIPMENT LUMINAIRE

Atty. Dkt. No.: ZL327-01008

Enclosures: 1) PTO/SB/17

2) PTO-2038

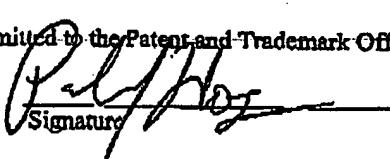
3: PTO/SB/22

Pursuant to your call to Steve Witters, please find our extension fee in the amount of \$930.00. Please call me at 502/625-2820 to confirm receipt. Thank you for your attention to this matter.

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that the following papers are facsimile-transmitted to the Patent and Trademark Office on the date shown below.

Pamela J. Hogan, Intellectual Property Assistant  
 Person Signing

  
 Signature

8-26-2003  
 Date

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2003

*Effective 01/01/2003. Patent fees are subject to annual revision.*

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 930.00)

## Complete If Known

Application Number	09/651,367
Filing Date	05/08/2001
First Named Inventor	Bailey, Glenn Eric
Examiner Name	Zeade, Bertrand
Art Unit	2875
Attorney Docket No.	ZL327-01008

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None
 Deposit Account:

Deposit Account Number	<input type="text"/>
Deposit Account Name	<input type="text"/>

The Commissioner is authorized to: (check off that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	<input type="text"/>
1002 330	2002 165	Design filing fee	<input type="text"/>
1003 520	2003 260	Plant filing fee	<input type="text"/>
1004 750	2004 375	Reissue filing fee	<input type="text"/>
1005 160	2005 80	Provisional filing fee	<input type="text"/>
SUBTOTAL (1) (\$)			<input type="text"/>

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims -20** = <input type="text"/> X <input type="text"/> = <input type="text"/> - 3** = <input type="text"/> X <input type="text"/> = <input type="text"/>	Fee from below	Fee Paid

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$ 0)		

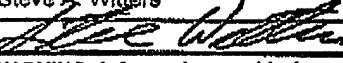
\*\* or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	<input type="text"/>
1152 50	2052 25	Surcharge - late provisional filing fee or cover sheet	<input type="text"/>
1053 130	1053 130	Non-English specification	<input type="text"/>
1612 2,520	1612 2,520	For filing a request for ex parte reexamination	<input type="text"/>
1004 820*	1804 920*	Requesting publication of SIR prior to Examiner action	<input type="text"/>
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	<input type="text"/>
1201 110	2201 55	Extension for reply within first month	<input type="text"/>
1202 410	2202 205	Extension for reply within second month	<input type="text"/>
1203 930	2203 465	Extension for reply within third month	<input type="text"/>
1204 1,450	2204 725	Extension for reply within fourth month	<input type="text"/>
1205 1,970	2205 985	Extension for reply within fifth month	<input type="text"/>
1401 320	2401 160	Notice of Appeal	<input type="text"/>
1402 320	2402 160	Filing a brief in support of an appeal	<input type="text"/>
1403 260	2403 140	Request for oral hearing	<input type="text"/>
1451 1,510	1451 1,510	Petition to institute a public use proceeding	<input type="text"/>
1452 110	2452 55	Petition to revive - unavoidable	<input type="text"/>
1453 1,300	2453 650	Petition to revive - unintentional	<input type="text"/>
1501 1,300	2501 650	Utility issue fee (or reissue)	<input type="text"/>
1502 470	2502 235	Design issue fee	<input type="text"/>
1503 630	2503 315	Plant issue fee	<input type="text"/>
1460 130	1460 130	Petitions to the Commissioner	<input type="text"/>
1807 60	1807 60	Processing fee under 37 CFR 1.17(q)	<input type="text"/>
1608 160	1808 160	Submission of Information Disclosure Stmt	<input type="text"/>
5021 40	8021 40	Recording each patent assignment per property (list no. of properties)	<input type="text"/>
1809 750	2809 375	Filing a continuation after final rejection (37 CFR 1.128(a))	<input type="text"/>
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>
1801 750	2801 375	Request for Continued Examination (RCE)	<input type="text"/>
1802 800	1802 900	Request for expedited examination of a design application	<input type="text"/>
Other fee (specify)			<input type="text"/>

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 930.00)

SUBMITTED BY		(Complete if applicable)	
Name (Print/Type)	Steve A. Witters	Registration No. (Attorney/Agent)	53,923
Signature		Date	August 19, 2003

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete. Including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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